HAWAII STATE ETHICS COMMISSION
ORGANIZATION'S OR INDIVIDUAL'S EXPENDITURES AND CONTRIBUTIONS REPORT

(To be filed by organizations, employing organizations and individuals other than registered lobbyists)

FORM ORG

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HAWAII STATE ETHICS COMMISSION
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For lobbying reporting period:

[✓] January 1 - last day of February

[ ] March 1 - April 30

[ ] May 1 - December 31

Year of Report 20 05

Contact person: Kim Chamberlain Phone: 212-720-0611

Organization: Securities Industry Association

Mailing Address: 120 Broadway, 35th Floor
New York, NY 10271

PART I. TOTAL EXPENDITURES

The total sum or value of all expenditures for the purpose of lobbying during the statement period was: $ 0.00

EXPENDITURES

Category	Total Amount	Category	Total Amount
1. Preparation & distribution of lobbying materials		7. Entertainment	
2. Media advertising		8. Food & beverages	
3. Telegraph, telephone and other forms of telecommunication		9. Gifts	
4. Postage		10. Loans	
5. Compensation paid to lobbyists	$0.00	11. Other disbursements	
6. Fees (other than to lobbyists)		TOTAL EXPENDITURES	0.00

COMPENSATION PAID TO LOBBYISTS

List in this section the names of all lobbyists and compensation paid to the lobbyists during the statement period.

Name	Address	Compensation paid
George A. "Red" Morris	222 South Vineyard Street, Suite 401, Honolulu, Hawaii 96813	$0.00

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EXPENDITURES OF $25 OR MORE PER PERSON PER DAY

List in this section all expenditures incurred for the purpose of lobbying of $25 or more per person per day during the statement period.

☑ This section is not applicable

☐ Expenditures incurred in the total sum of $25 or more per person per day were made for the following persons:

Name & Address	Amount or value

AGGREGATE EXPENDITURES OF $150 OR MORE PER PERSON

List in this section all expenditures incurred for the purpose of lobbying in the total sum of $150 or more per person during the statement period.

☑ This section is not applicable

☐ Expenditures incurred in the aggregate of $150 or more per person were made for the following persons:

Name & Address	Amount or value

PART II. CONTRIBUTIONS RECEIVED

List in this section all contributions received for the purpose of lobbying in the total sum of $25 or more per person during the statement period.

☑ This section is not applicable

☐ Contributions received in the total sum of $25 or more per person were received from the following persons:

Name & Address	Amount or value

PART III. SUBJECT AREAS OF LOBBYING

Legislative and/or administrative action in the following areas was supported or opposed during the statement period:

☐ Agriculture	☐ Education	☐ Human Services	☐ Science, Technology & Economic Development
☐ Communications & Public Utilities	☑ Government Operation & Finance	☑ Intergovernmental Relations, International Affairs	☐ Tourism & Recreation
☑ Consumer Protection & Commerce	☐ Hawaiian Affairs	☐ Labor & Employment	☐ Transportation
☐ Culture, Arts, Historic Preservation	☐ Health	☐ Planning, Land & Water Use Management	☐ Other: (indicate below)
☐ Ecology, Energy Environmental Protection	☐ Housing	☐ Public Safety & Corrections	

I hereby certify that the statements made above are correct and complete to the best of my knowledge

Signature Block _____ 3/10/05
(Signature of authorized person) (Date)

Name of authorized person (type or print) Kim Chamberlain

Title of authorized person Vice President, Counsel & Government Affairs